FORM TD2

YORK UNIVERSITY GRADUATE STUDENT HUMAN PARTICIPANTS RESEARCH PROTOCOL

(Please print)

Student Name: _____ **Date:** _____

E-mail*:* _____ **Phone Number***:*_____

Program: _____**Degree:**_____

Title of Thesis, Dissertation, Major Research Paper, or Course:

_____

_____

_____

Name of Supervisor (Thesis, Dissertation or MRP) or Course Director:

_____

A. **Is the research you are conducting funded?**

No_____ Yes_____

The definition of "funded" does not include funding in the form of student OGS scholarships, SSHRC fellowships, NSERC scholarships, or CIHR studentships. These awards are intended to support students through their studies and do not require reports from students on the specific research activities conducted. The definition of "funded" does apply to grants awarded for specific research projects, whether those projects be the student's own research projects or research being conducted as part of a faculty member's funded research project. Typically, for funded research, granting agencies require reports of the research conducted.

B. **Are the risks to participants more than minimum risk?**

No_____ Yes_____

The Human Participants Research Committee uses the definition of minimal risk as outlined in the SSHRC/NSERC/CIHR *Tri-Council Policy Statement "Ethical Conduct for Research involving Humans"* (August 1998): "If potential subjects can reasonably be expected to regard the probability and magnitude of possible harms implied by participation in the research to be no greater than those encountered by the subject in those aspects of his or her everyday life that relate to the research then the research can be regarded as within the range of minimal risk" (p. 1.5). An expanded version of this definition is available from the Office of Research Services (214 York Lanes) upon request.

I. Please answer the following questions regarding ***Research Information:***

(1) Project Description and Rationale: In layperson's terms, please provide a general and **very** brief description of the research and rationale (e.g., hypotheses, goals and objectives etc.)

PLEASE DO NOT SUBMIT YOUR PROPOSAL TO THE HPRC OFFICE

(2) Participants:

a. State who the participants will be:

b. How will the participants be recruited?

c. Will inducements be offered?

d. What will be required of the participants?

(3) Risks and Benefits: What risks to, and benefits for, if any, are there for the participants?

II. Please answer the following questions on the ***informed consent*** of research participants:

(1) Will you provide a full explanation of the research to the participants prior to their participation?

Yes_____ No_____ (If **no**, please elaborate below.)

(2) Is substitute consent involved (e.g., for children, youths under 18, incompetent adults)?

Yes_____ (If **yes**, please elaborate below.) No_____

(3) Is deception involved?

Yes_____ No_____
(If **yes**, please elaborate below. Please comment on debriefing, if applicable.)

(4) Will individuals remain anonymous?

Yes_____ No_____
(If **no**, please elaborate below. Please note that it is expected that participants remain anonymous unless they have given their prior written consent.)

(5) Will the data be kept confidential?

Yes_____ No_____
(If **no**, please elaborate below. Please note that it is expected that the data will be kept confidential unless the participants have given their prior written consent. Please also note that if you advise participants that the data will be confidential, you should state that confidentiality will be ensured, within the limits of the law.)

(6) How will data be stored and for how long?

(7) How will informed consent be obtained? (Check one)

_____ Written Informed Consent Document **(Attach copy)**

_____ Oral Informed Consent Document (Permissible only in extenuating circumstances, where written communication is not feasible; **script of oral informed consent must be provided)**

Appendix B provides a checklist for the content of the Informed Consent Document.

STUDENT DECLARATION

I hereby certify that all information on this form and all statements in the attached documentation are correct and complete. I understand that all human participants in the research must have signed a written consent form or have provided oral consent for their participation in the research. I understand that should there be any change in the research methodology or any increased anticipated risks to human participants, I will advise the Faculty of Graduate Studies; if these changes are not minor, my research proposal may be required to undergo a further ethics review. I understand that any misrepresentation in the proposal or attached documentation may lead to a charge of breach of academic honesty. I also understand that I must retain Consent Forms for two years following the completion of the research.

_____ _____

Student's Signature Date

SUPERVISOR DECLARATION

I hereby certify that all information on this form and all statements in the attached documentation are correct and complete. I have advised the student that, as specified in Item 6 above and in attached documentation, all human participants in the research must have signed a written consent form or have provided oral consent for their participation in the research. I have advised the student that the Faculty of Graduate Studies will be advised of any changes in research methodology or any increased anticipated risks to human participants and that a further ethics review may be required as a result of such changes. I have advised the student that Consent Forms must be retained for two years following the completion of the research.

□ Course work or MRP only: A TCPS tutorial certificate dated within the past 2 years is in the student's file

_____ _____

Signature of supervisor (of Thesis, Dissertation, or MRP) or Course Director Date